# Household Solid Fuel Pollution: Recent Health Effects Results from Guatemala

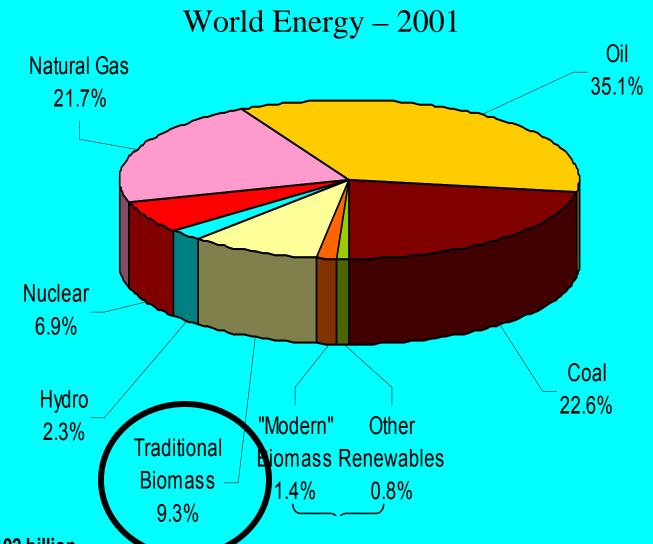
Kirk R. Smith Professor of Global Environmental Health University of California, Berkeley

Workshop on Emissions of Toxic Air Contaminants from Biomass Burning for Cooking and Brick Production

> CIEco-UNAM, Morelia, Michoacan September 30, 2008

# Household Solid Fuel Burning

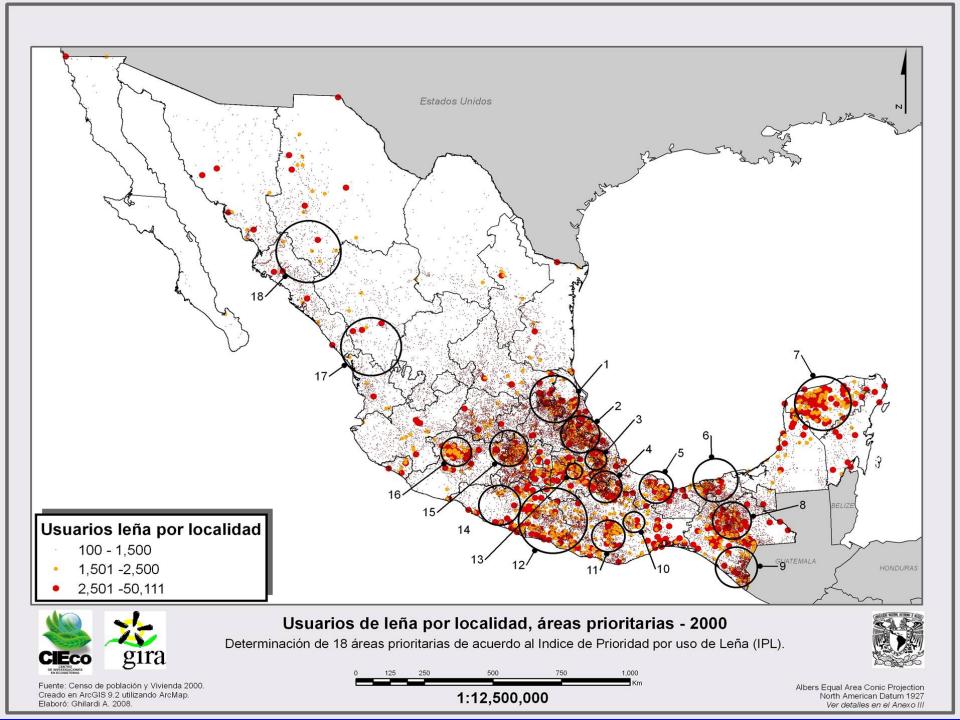
- Why solid fuel use might be a hazard
- Summary of current risk estimates for child pneumonia
- Recent results from the first randomized trial RESPIRE in the Guatemalan Higlands
  - Pneumonia in children <18 mo</p>
  - Blood pressure in women
  - Low birth weight



Population: 6.102 billion

Total energy use: 10.2 Gtoe Per capita energy consumption: 1.67 toe

World Energy Assessment, 2004



#### Woodsmoke is natural – how can it hurt you?

Or, since wood is mainly just carbon, hydrogen, and oxygen, doesn't it just change to  $CO_2$  and  $H_2O$  when it is combined with oxygen (burned)?

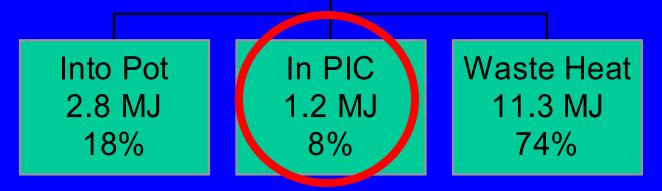


Reason: the combustion efficiency is far less than 100%

Energy flows in a well-operating traditional wood-fired Indian cooking stove

A Toxic Waste Factory!!

Typical biomass cookstoves convert 6-20% of the fuel carbon to toxic substances



PIC = products of incomplete combustion = CO, HC, C, etc.

Source: Smith, et al., 2000

## Toxic Pollutants in Biomass Fuel Smoke from Simple (poor) Combustion

- Small particles, CO, NO<sub>2</sub>
- Hydrocarbons

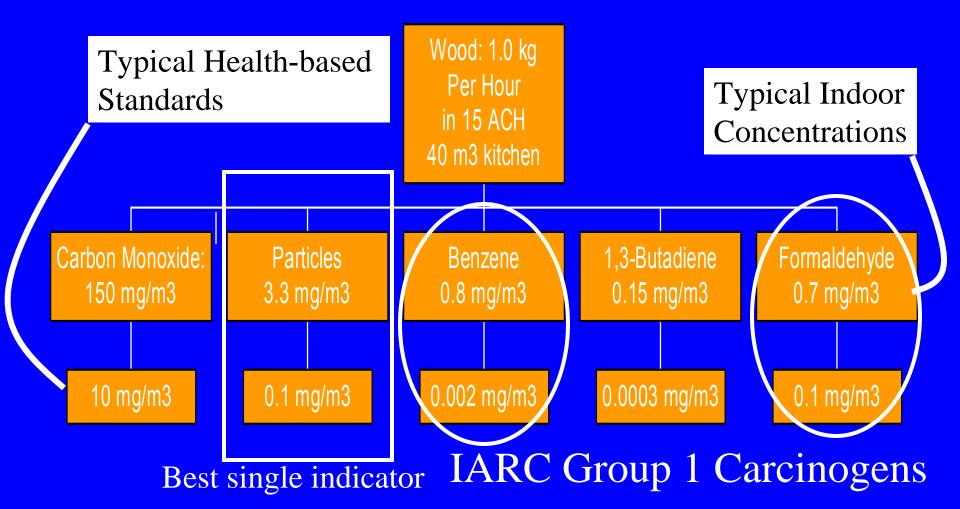
25+ saturated hydrocarbons such as *n-hexane*In US regulatory terminology, there are significant emissions of
3 Criteria Air Pollutants and
at least 28 Hazardous Air Pollutants (HAPs)

- Oxygenated organics
  - 20+ aldehydes including *formaldehyde* & *acrolein*
  - 25+ alcohols and acids such as *methanol*
  - 33+ phenols such as *catechol* & *cresol*
  - Many quinones such as *hydroquinone*
  - Semi-quinone-type and other radicals

Source: Naeher et al, J Inhal Tox, 2007

• Chlorinated organics such as *methylene chloride* and *dioxin* 

## Health-Damaging Air Pollutants From Typical Woodfired Cookstove in India.



First person in human history to have her exposure measured doing one of the oldest tasks in human history

Exposures seem to be high in a large vulnerable population. But what are the health effects?

Kheda District Gujarat, India 1981 How Much Global III-Health can be Attributed to Household Indoor Air Pollution?

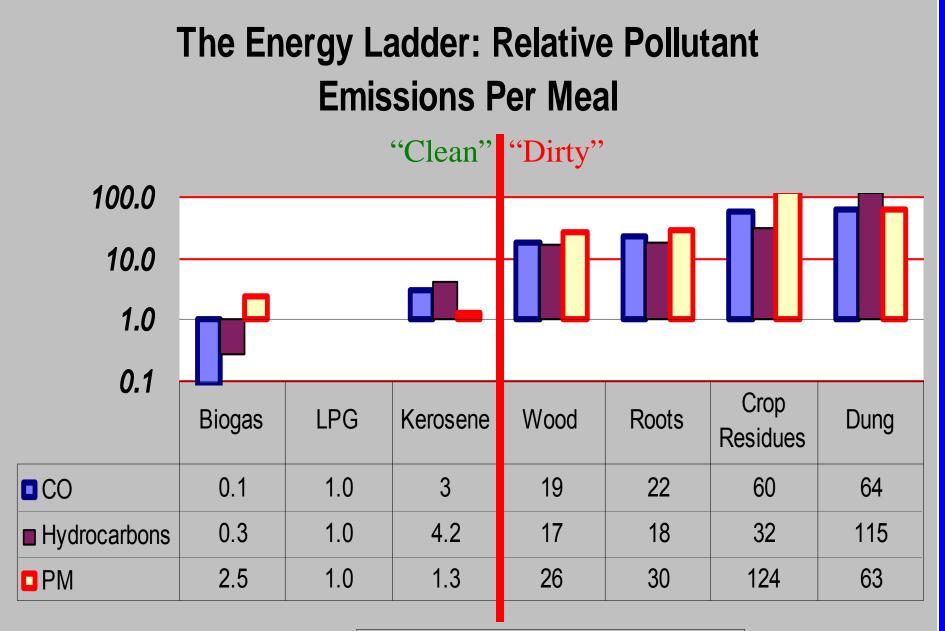
- What do we mean by "ill-health?"
- What do we mean by "attributed?"
- What do we mean by "indoor air pollution"

# What do we mean by ill-health?

- Lost life-years, which accounts for age of premature death and duration of illness
- DALYs = Disability adjusted life years lost

# What do we mean by "indoor air pollution"

- Too few measurements worldwide to determine exposures by measurements
- Can use solid fuel use as a proxy as widespread surveys available
- Makes physical sense because of larger pollutant emissions
- There is a growing epidemiologic literature showing health effects



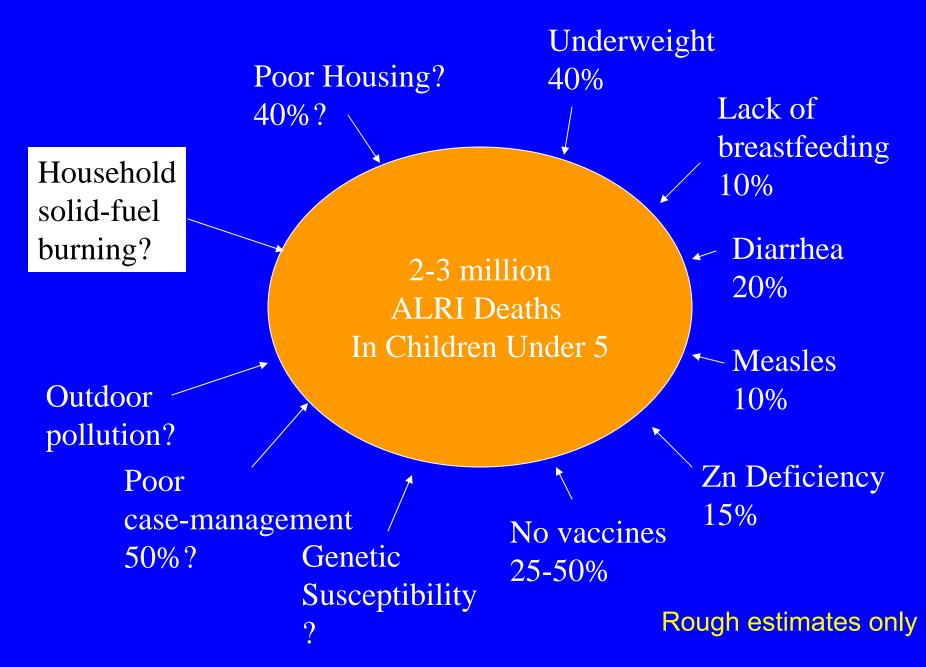
Smith, et al., 2005

CO Hydrocarbons PM

# Attributable Risk?

- The amount of ill-health that would not exist today if the exposure to the risk factor had not occurred in the past.
- Assumes all other risk factors remain constant
- Need to compare to some feasible alternative such as clean fuels (no cooking is not feasible)
- Calculated as if all other risk factors remain the same and thus addition of attributable risks must be done with care

#### **Attributable Fractions do not add to 100%**



#### Comparative Quantification of Health Risks

GLOBAL AND REGIONAL BURDEN OF DISEASE Attributable to Selected Major Risk Factors

Volume 1

Edited by

MAJID EZZATI, ALAN D. LOPEZ, ANTHONY RODGERS AND CHRISTOPHER J.L. MURRAY Published in late 2004, 2 vols, ~2500 pp

Available on World Health Organization website



World Health Organization Geneva

#### http://www.who.int/publications/cra/en/

#### Comparative Risk Assessment Method <sup>ent</sup>

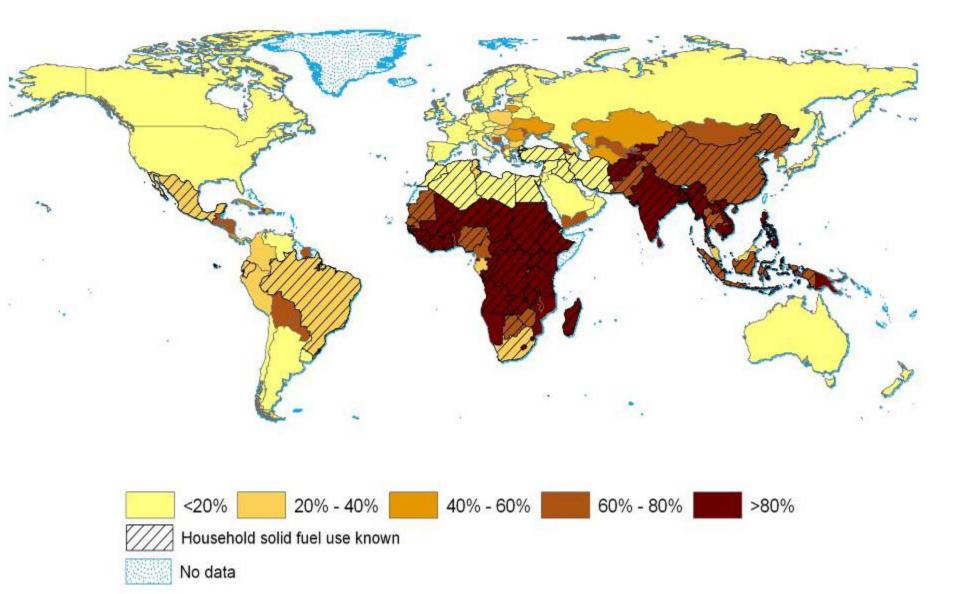
Exposure Levels: Past actual and past counterfactual

#### Exposure-response Relationships (risk)

Disease Burden by age, sex, and region

Attributable Burden by age, sex, and region

## National Household Solid Fuel Use, 2000



ALRI/ Pneumonia (meningitis) Diseases for which we have epidemiological studies linking indoor air pollution to disease <u>Chronic</u> <u>obstructive</u> <u>lung disease</u>

Only two qualified with sufficient evidence to be included in the WHO CRA Acute lower respiratory infections (ALRI)

Chief cause of death among the world's children (>2 million per year). Thus, it is the chief global cause of lost healthy life years.

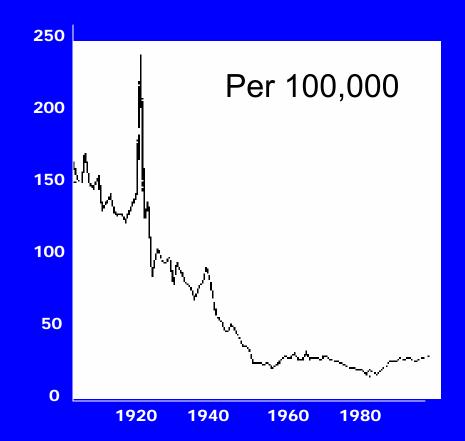
Child mortality occurs almost entirely in developing countries, and as pneumonia.

Well-accepted risk factors (malnutrition, micro-nutrient deficiencies, other diseases, crowding, chilling) do not account for its scale.

#### Pneumonia Deaths in the United States

Not so long ago Pneumonia was chief cause of death in developed countries

SOURCE: National Center for Health Statistics, 2004. No age adjustment



## ALRI associated with use of solid fuels: analysis of ~10 observational studies

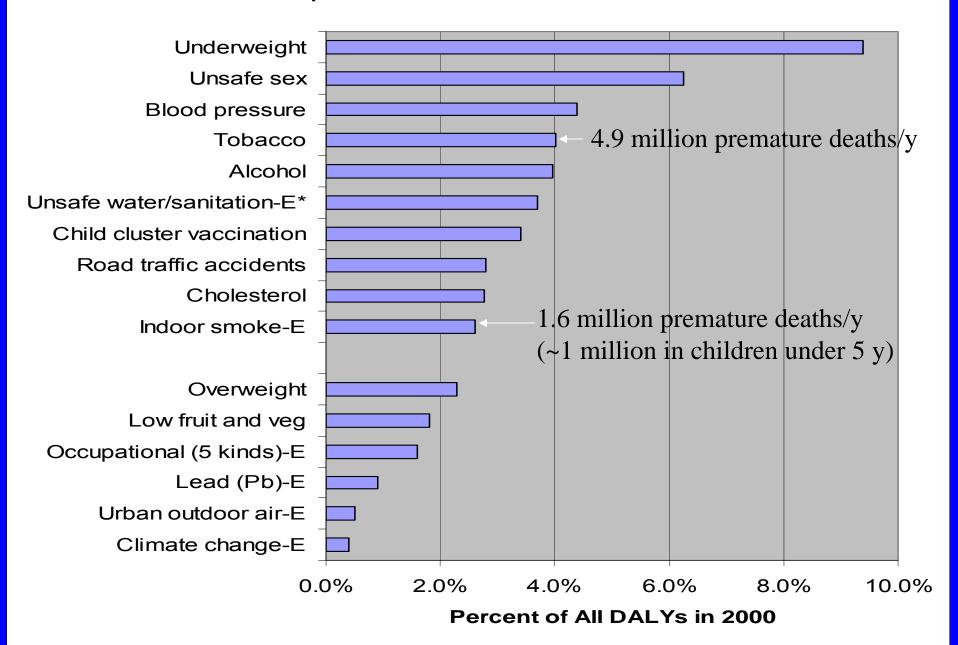
	Subgroup analyses	Odds ratio (95% CI)
	All studies	<del>2.3 (1.9-</del> 2.7)
$\langle$	Use of solid fuel	2.0 (1.4-2.8)
	Duration of time child spent near the cooking fire	<del>2.3 (1.8-</del> 2.9)
	Studies adjusting for nutritional status	3.1 (1.8-5.3)
	Studies not adjusting for nutritonal status	2.2 (2.0-3.0)
	Children aged <2 years old	2.5 (2.0-3.0)
	Children aged <5 years old	1.8 (1.3-2.5)

Smith et al in WHO, Comparative quantification of health risks, 2004

# **Consistent** with

- Controlled animal and human exposures showing effects on respiratory immune system
- Dozens of studies of the effect of environmental tobacco smoke exposures in children
- A few studies of outdoor air pollution

#### Global Burden of Disease from Top 10 Risk Factors plus selected other risk factors



Study design	Ν	OR	95% CI		
	*				
Intervention	2	1.28	1.06, 1.54		
New ALRI-IAP					
Systematic					
Bystematic					

Review and Meta-Analysis

Dherani et al. Bull WHO, 2008

Cross- sectional	3	1.49	1.21, 1.85
All	26	1.78	1.45, 2.18

Study or sub-category	Odds Ratio (random) 95% Cl	VVeight %	Oc	lds Ratio ( 95%	
01 Intervention Studies					
Smith(2007)a		5.53	1.18	[0.88,	1.58]
Smith(2007)b		5.73	1.35	[1.05,	1.73]
Subtotal (95% CI)	◆	11.26	1.28	[1.06,	1.54]
Test for heterogeneity: Chi <sup>2</sup> = 0.4	48, df = 1 (P = 0.49),   <sup>2</sup> = 0%				
Test for overall effect: Z = 2.54 (	(P = 0.01)				
02 Cohort Studies					
Armstrong(1991)a		2.80	0.50	[0.20,	1.22]
Armstrong(1991)b		3.65	1.90	[0.96,	3.75]
Cambell(1989)	· · · · · · · · · · · · · · · · · · ·	3.25	2.80	[1.29,	6.08]
Ezzati(2001)		3.86	2.33	[1.23,	4.40]
Jin(1993)		5.69	0.80	[0.62,	1.03]
Pandey(1989)a		4.34	2.45	[1.43,	4.19]
Pandey(1989)b		1.52	40.65	[9.79,	168.75]
Subtotal (95% CI)		25.11	2.12	[1.05,	4.25]
Test for heterogeneity: Chi <sup>2</sup> = 54 Test for overall effect: Z = 2.11 (	.07, df = 6 (P < 0.00001), l² = 88.9% (P = 0.03)				
03 Case-Control Studies					
Azizi(1995)		3.97	1.20	[0.65,	2.21]
Broor(2001)		4.49		[1.51,	
Collings(1990)		4.85	2.16	[1.40,	3.33]
De Francisco(1993)		2.15		[1.72,	
Fonsecca(1996)		4.68		[0.71,	
Johnson(1992)a		3.15	0.80	[0.36,	1.78]
Kossove(1982)		→ 1.96	4.77	[1.44,	15.74]
Kumar(2004)	· · · · · · · · · · · · · · · · · · ·	2.45	3.87	[1.42,	10.57]
Mahalanabas(2002)	2	— 3.63	3.97	[2.00,	7.88]
Morris(1990)			4.85	[1.75,	13.40]
O'Dempsey(1996)		- 2.59	2.55	[0.98,	6.64]
Robin(1996)a		2.95	1.40	[0.60,	3.28]
Victora(1994)a		4.08		[0.61,	
Wayse(2004)		2.90		[0.58,	
Wesley(1996)		1.87		[0.39,	
Subtotal (95% CI)	••••••••••••••••••••••••••••••••••••••	48.15	1.97	[1.47,	2.64]
Test for heterogeneity: Chi <sup>2</sup> = 32 Test for overall effect: Z = 4.53 (	.72, df = 14 (P = 0.003), l² = 57.2% (P < 0.00001)				
04 Cross-sectional Studies					
Mishra(2003)		3.83	2 20	[1.16,	4 181
Mishra(2005)		5.87		[1.28,	
Wichmann(2006)		5.79	1.29	[1.02,	1.631
Subtotal (95% Cl)		15.48	1.49	[1.21,	1.851
Test for heterogeneity: Chi <sup>2</sup> = 3.1	19. df = 2 (P = 0.20), <sup>2</sup> = 37.3%				
Test for overall effect: Z = 3.74 (					
Total (95% Cl)	•	100.00	1.78	[1.45,	2.18]
	1.74, df = 26 (P < 0.0001), l² = 74.4%	6			
Test for overall effect: Z = 5.61 (	(P < 0.00001)	1			
0.	1 0.2 0.5 1 2 5	10			
	Increased risk Decreased risk	6			

# Problems with all Previous ALRI and IAP Studies

- Studies were all observational and there may have been residual confounding, i.e., the effect may be due to poverty-related issues not air pollution itself.
- Little or no exposure assessment.
- Given distribution of upper and lower respiratory infections, disease measures used were sensitive (not too many false negatives) but not specific enough, i.e., there were too many false positives.

### Acute Respiratory Infections in the World – 2002 In Children 0-5 years

Acute Lower Respiratory Infections (ALRI) + Acute Upper Respiratory Infections (AURI)

Incidence/100,000	ALRI	AURI	ALRI/AURI
World	41,000	536,000	0.08
OECD	3,000	450,000	0.01
India	45,000	700,000	0.06
Ratio Poor/Rich	15	1.6	

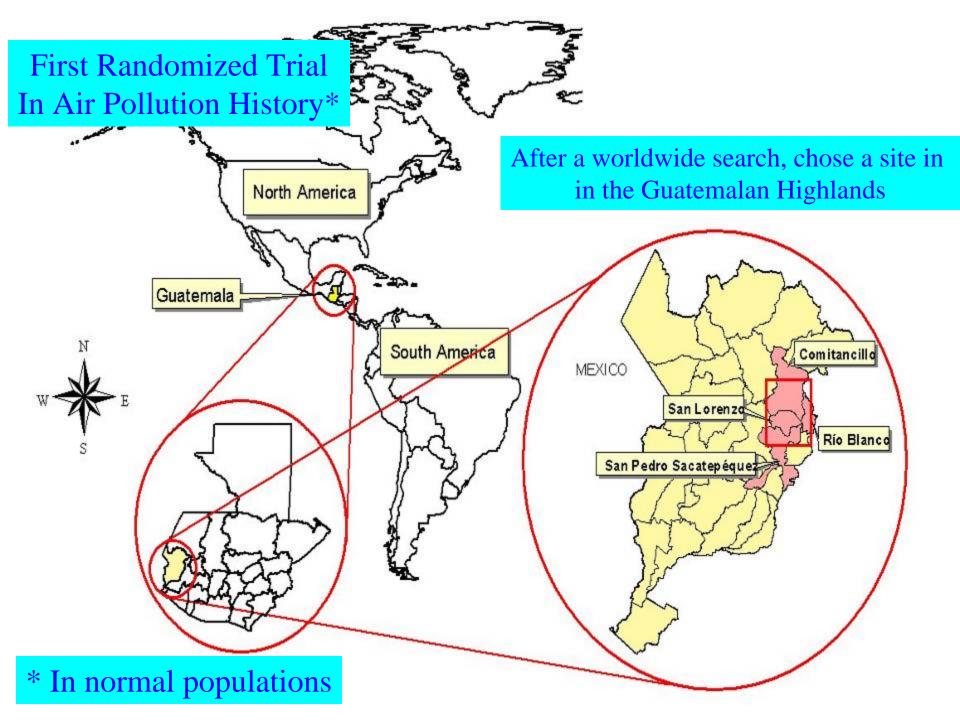
In India, if an ALRI assessment method is 82% sensitive and 88% specific,\* then only 31% of the ALRI found by the method is actually ALRI The rest is probably AURI or nothing!

WHO, Global Burden of Disease Database

\*Average of three best studies

# History of a RCT

- ~1980: Early studies of health effects in Nepal and elsewhere
- 1981: First measurements of pollution levels in India
- 1984: International meeting to decide on needed research
   <u>Chose randomized control trial (RCT) of ALRI</u>
- 1986-89: Unfunded proposals to do RCT in Nepal
- 1990: WHO establishes committee to find best sites
- 1990-1992: Criteria established and site visits made
- 1992: Highland Guatemala chosen
- 1991-1999: Pilot studies to establish data needed for proposal
- 1996-1999: Unfunded proposals
- 2001: NIEHS funding secured
- 2002-2005: Fieldwork completed
- 2007: First results published
- 23+ years from deciding to conduct RCT to results!



# Setting

 Rural highlands of San Marcos, western Guatemala Population nearly all indigenous Mayan Indians Nearly all depend on wood for cooking and heating • Traditional stove is the 3-stone fire – no venting to outside Very poor, high IMR, pneumonia, diarrhea and stunting common Poor health service uptake - culture, language, transport, time Intervention is a stove with chimney that is well-

accepted by community

#### RESPIRE: (Randomized Exposure Study of Pollution Indoors and Respiratory Effects)



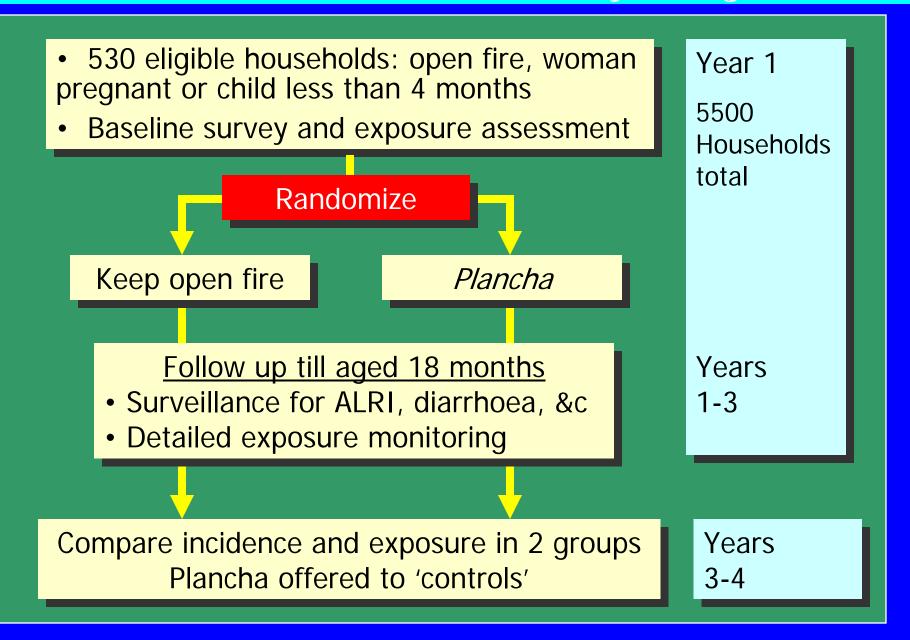
#### Traditional 3-stone open fire

Plancha chimney wood stove

# **RESPIRE** Teams

- 25-35 fulltime field staff
  - 17-25 locally hired bilingual (Mam-Spanish) fieldworkers
  - Field manager
  - 2 field supervisors
  - Data manager
  - 2-3 physicians
  - Environment engineer for air pollution monitoring
  - 4-6 office/data entry staff
  - All Guatemalan
- Investigators and students in Berkeley, Guatemala, Liverpool, Boston, Geneva, and Bergen
- International **Data Safety Management Board** for ongoing protection of human subjects
- NIH and several other funders

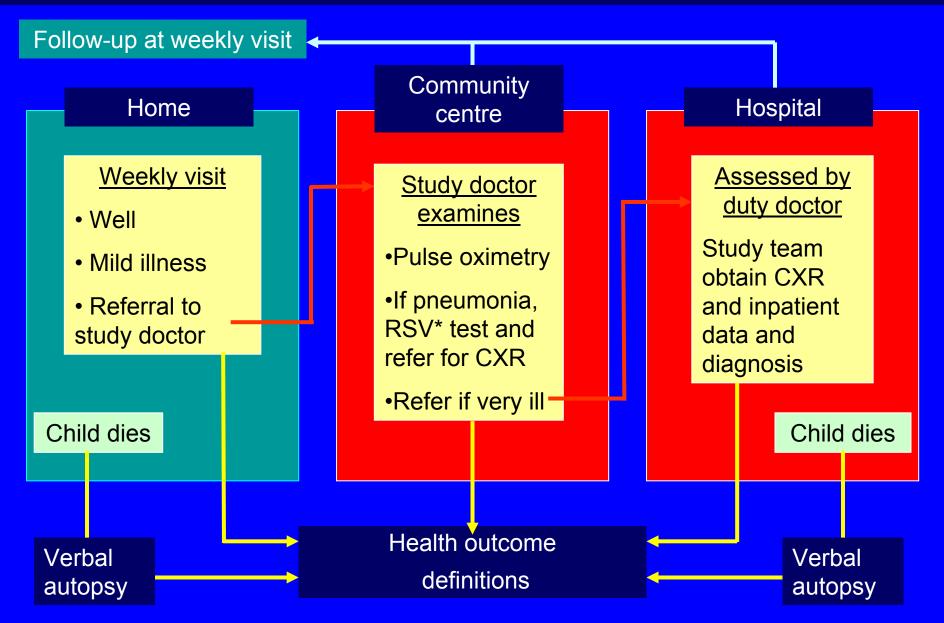
### **Overview of RESPIRE study design**



## Randomisation: balance of groups at baseline

Variable	Control	Intervention
Socio-demographic factors		
Mother's Age (years)	27.0	26.4
Pregnant at recruitment (%)	48.3	51.3
Own home (%)	92.8	94.1
Migrates part of year (%)	17.7	17.1
House structure		
Separate enclosed cooking area (%)	76.2	74.3
Completely open eaves (%)	42.7	40.6
Walls – adobe (mud) (%)	88.7	90.7
Roof – metal (%)	77.4	74.3
Floor – earth (%)	92.5	88.8
Leaks in roof (water) (%)	24.5	33.3
Electricity (%)	70.8	69.3
Other sources of smoke		
Other fire near house (%)	14.6	14.4
Smoking (tobacco) indoors (%)	26.8	20.4
Use traditional sauna bath (%)	84.5	87.8
Geographic		
Mean altitude (metres)	2613	2601

#### Overview of child health outcomes assessment



\* Respiratory syncitial virus

# Overview of weekly visits

		Plancha	Control
Number of children		265	253
Weekly visits	Total possible in follow up period	16,446	15,664
	Completed	14,756	14,369
% of possible weekly visits completed		89.7%	91.7%*
Mean (SD, range) visits per child		55.7 (17.8; 1 to 80)	56.8 (17.3; 2 to 81)
Number (%) children - no missed visit		17 (6.4%)	19 (7.5%)
Withdrawals		19 (7.2%)	14 (5.5%)

\* P < 0.001

## PHYSICIAN ASSESSMENT

- Clinical assessment is the key outcome
- Needed to standardise
- Six employed (four assessed 96.4% referrals)
- Use of agreed terms and signs
- Initial 'calibration' and ongoing (<u>+</u> monthly) clinical sessions



# PULSE OXIMETRY

- Non-invasive and wellaccepted (99%)
- Measure of severity (of respiratory illness):

   mortality up to x5 in hypoxaemic
- Well children (n=55)
  - Mean (SD) 93.2% (3.0)
  - Hypoxaemic defined as mean – 2SD = 87%
- Bogota (5d 24mo) altitude 2640m, mean (SD) 93.3% (2.1)



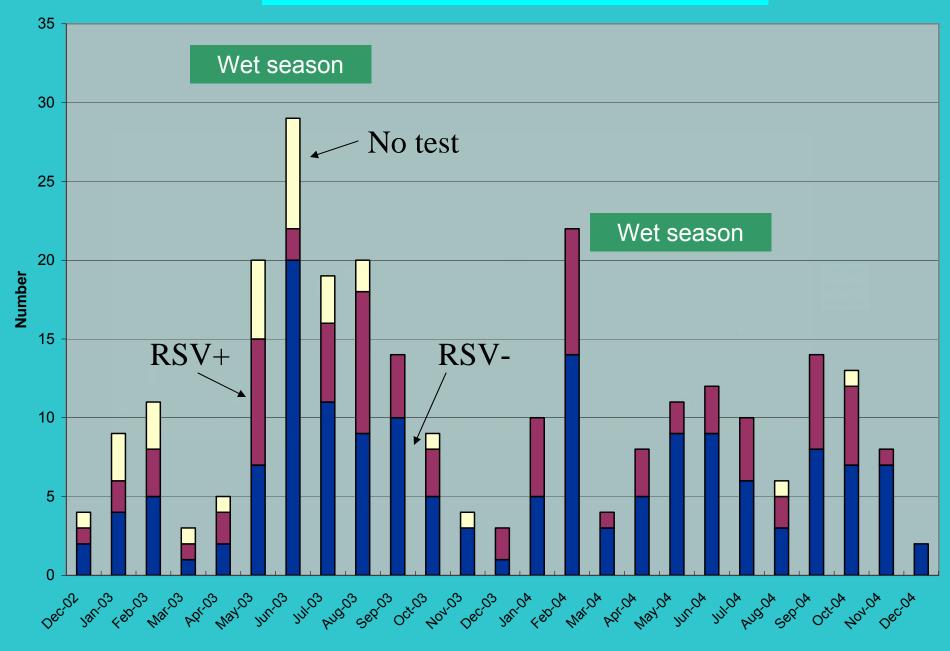
## Chest X-rays

- Eligible: all pneumonia cases
- 207 (79 %) of cases attended for CXR
  - Plancha: 82.3%
  - Control: 76.3%
- Reading WHO protocol\*
  - Training
  - Test films: 75% agreement
  - Study films read independently, & blind
  - Agreement assessed
  - WHO readers (TC, HO) assisted re disagreements

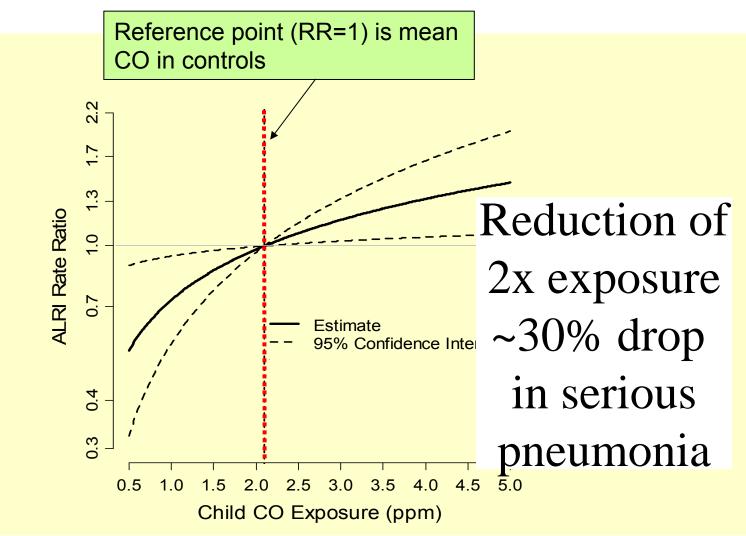


\*Standardised method for pneumonia interpretation

#### Pneumonia by month and RSV status



#### Exposure-response relationship (Preliminary Results from Guatemala RCT)



Log linear function provided the best fit

ALRI/ Pneumonia (meningitis)

Low birth weight

Asthma

Early infant death

Cognitive Impairment? Studies underway in our group on several other diseases Chronic obstructive lung disease

Interstitial lung disease Cancer (lung, NP, cervical, aero-digestive)

Blindness (cataracts, trachoma)

Tuberculosis

Heart disease

Chimney Stove Intervention to Reduce Long-term Woodsmoke Exposure Lowers Blood Pressure among Guatemalan Women

> John P. McCracken, Kirk R. Smith, Murray A. Mittleman, Anaité Díaz, Joel Schwartz

(Published in Environmental Health Perspectives, July 2007)

### Objectives

<u>Goal</u>: To evaluate the effect of long-term reductions in woodsmoke exposure on systolic (SBP) and diastolic blood pressure (DBP).

Specific hypotheses:

- 1. Personal fine particle  $(PM_{2.5})$  exposures will be lower among women using chimney stoves to cook.
- 2. Chimney stove intervention will be associated with lower SBP and DBP.

### Study Design

- Study population
  - Eligible: Women ≥ 38 years, cooking daily
  - Excluded: pregnant, breastfeeding
- Two follow-up periods
  - Trial period (7/03-12/04)
  - Echo-intervention period (3/04-3/05)

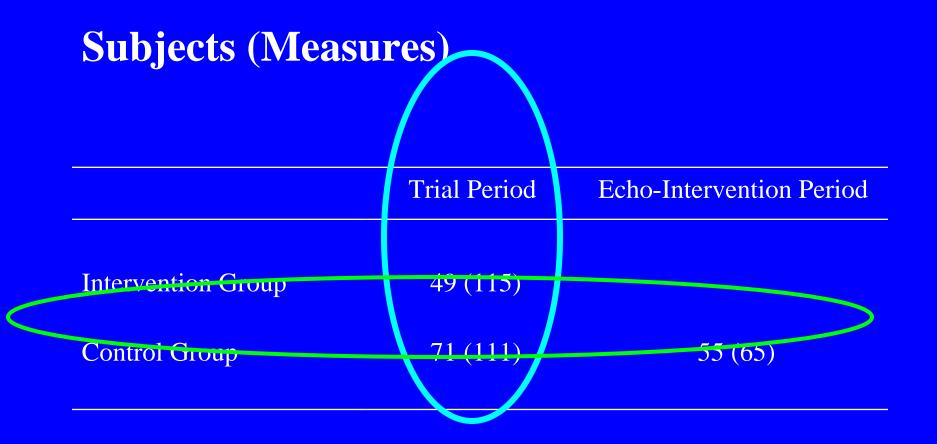
#### **Personal PM<sub>2.5</sub>**



#### **SBP and DBP**



### **Measures by Group and Period**



### **Between-Groups Results**

	Number of subjects (measures)		Adjusted mean difference*			
	Control group	Intervention group	Estima	ite	95% CI	p-value
SBP	71 (111)	49 (115)	-3.7		-8.1, 0.6	0.10
DBP	71 (111)	49 (115)	-3.0		-5.7, -0.4	0.02

\* Adjusted for age, body mass index, daily temperature, season, day of the week, time of day, use of wood-fired sauna, household electricity, an asset index, ever smoking, and secondhand tobacco smoke exposure

#### **Before-and-After Results**

	Number of subjects (measures)		Adjusted mean difference*			
	Trial period	Echo-intervention	Estimate	95% CI	p-value	
SBP	55 (88)	55 (65)	-3.1	-5.3, -0.8	0.01	
DBP	55 (88)	55 (65)	-1.9	-3.5, -0.4	0.01	

\* Adjusted for age, body mass index, daily temperature, season, day of the week, time of day, use of wood-fired sauna, household electricity, an asset index, ever smoking, and secondhand tobacco smoke exposure

## Low birth weight (associated with IAP)

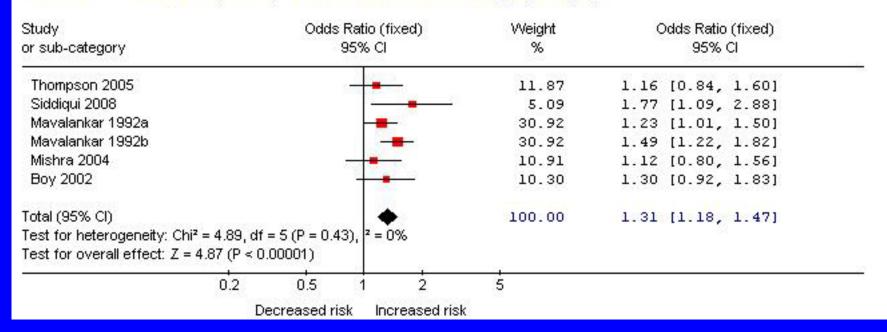
- Large direct impact on child mortality
- Of which perhaps 25% is ALRI
- LBW now linked to chronic disease over life time

## Low Birth Weight

- Small number of studies conducted appearing to show results for IAP consistent with evidence from ETS and ambient air pollution.
- Pregnant women in countries with high rates of (a) solid fuel use, and (b) adverse pregnancy outcomes rarely able to avoid activities that expose them and their unborn children to IAP
- Hence, even modest increase in risk for these conditions can be expected to translate into a substantial population attributable risk.
- Systematic review carried out in order to:
  - summarise the extent and quality of the evidence for the relationship between IAP from solid fuel use and adverse pregnancy outcomes
  - quantify these associations by meta-analysis.

### Results: IAP and LBW (<2500gms)

Review:Indoor air pollution from solid fuels and risk of low birth weight and stillbirth: a systematic review and meta-analysis.Comparison:01 Systematic review of indoor air pollution and low birth weightOutcome:01 Meta-analysis : Exposure to IAP and % Low Birth Weight (<2500gms)</td>



Heterogeneity -  $(I^2 = 0\%; Chi^2 (df=5) = 4.89, p=0.43)$ Funnel plot asymmetry - Begg's test (p = 0.566) Egger's test (p=0.989)

### **Results: IAP and birth weight**

Review:	eview: Indoor air pollution from solid fuels and risk of low birth weight and stillbirth: a systematic review and meta-analy				
Comparison:	Comparison: 03 Systematic review of indoor air pollution and low birth weight				
Outcome:	01 Meta-analysis: Exposure to IAP and mean birth	weight			
Study	WMD (fixed)	Weight	VVMD (fixed)		
or sub-categor	y 95% Cl	%	95% CI		
Thompson 20	05	14.89	47.00 [-69.69, 163.69]		
Siddiqui 2008	-	24.66	82.00 [-8.69, 172.69]		
Mishra 2004		12.98	175.00 [50.00, 300.00]		
Boy 2002		47.47	63.00 [-2.36, 128.36]		
Total (95% CI)	•	100.00	79.84 [34.81, 124.87]		
Test for hetero	geneity: Chi² = 2.79, df = 3 (P = 0.43),  ² = 0%				
Test for overal	l effect: Z = 3.47 (P = 0.0005)	3			
	-1000 -500 0 500	1000	2		
	Not open fire Open fire				

Heterogeneity - (I<sup>2</sup> = 0%; Chi<sup>2</sup> (df=3) = 2.79, p=0.43)

Funnel plot asymmetry - Begg's test (p = 0.308) Egger's test (p=0.479)

### **Results: IAP and still birth**

 Review:
 Indoor air pollution from solid fuels and risk of low birth weight and stillbirth: a systematic review and meta-analysis.

 Comparison:
 05 Systematic review of indoor air pollution and stillbirth

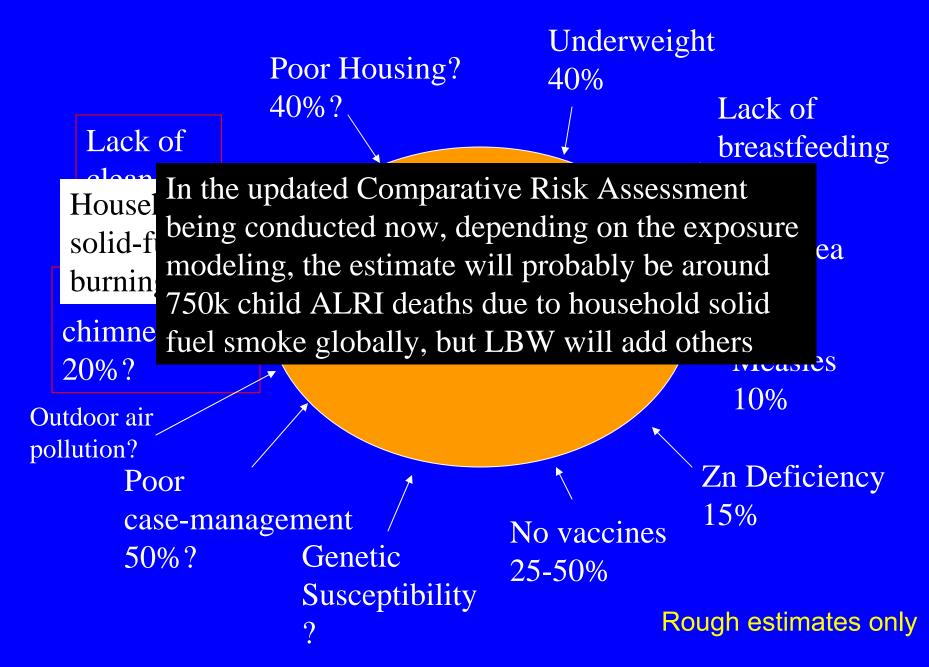
 Outcome:
 01 Meta-analysis: Exposure to IAP and stillbirth

Study or sub-category	Odds Ratio (fixed) 95% Cl	Weight %	Odds Ratio (fixed) 95% Cl	
Siddiqui 2005		- 17.29	1.90 [1.12, 3.23]	
Mavalankar 1991		35.28	1.50 [1.04, 2.17]	
Mishra 2005		47.43	1.44 [1.05, 1.98]	
Total (95% Cl)	•	100.00	1.53 [1.23, 1.91]	
Test for heterogeneity: Chi <sup>2</sup> = 0	).79, df = 2 (P = 0.67), <sup>2</sup> = 0%			
Test for overall effect: Z = 3.80	0 (P = 0.0001)			
1	0.2 0.5 1 2	5		
	Decreased risk Increased r	isk		

Heterogeneity - (I<sup>2</sup> = 0%; Chi<sup>2</sup> (df=2) = 0.79, p=0.67)

Funnel plot asymmetry - Begg's test (p = 0.296) Egger's test (p=0.083)

#### **Attributable Fractions do not add to 100%**



Thanks to funders for **RESPIRE** 

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And to all our participants and fieldworkers



Publications available at http://ehs.sph.berkeley.edu/krsmith/