Background

The Robot Operating System (ROS) open source software program, established by Willow Garage, provides libraries and tools to help software developers create robot applications. For example, ROS provides hardware abstraction, device drivers, algorithm libraries, visualizers, message-passing, package management, and more. The BSD license makes the software free for others to use, change, and incorporate into commercial applications. The primary goal is to enable software developers to build more capable robot applications quickly and easily on a common platform. Since its initial release, there have been five releases including the latest April 2012 version, Fuerte. To date, the acceptance of ROS has been broad within the academic and research community. The number of software development packages has grown rapidly from March 2010, and today totals more than 3000.

Over the last two decades, university and research laboratories have traditionally focused on building advanced capabilities for defense and service robots. ROS now includes many of the current state-of-the-art planning, perception, and manipulation capabilities. However, these research advancements have not been transitioned, in a comprehensive way, for use with industrial robotics and automation.

Need

ROS is quickly showing capability for new commercial applications as it matures. Southwest Research Institute (SwRI) adopted ROS early and has been successfully leveraging it for industrial robotics applications. Seeing the power and capability of ROS, SwRI established ROS-Industrial: a common industrial control platform that facilitates technology transfer from research labs to industry. ROS-Industrial is only the first step in establishing a community for development of advanced robotics capabilities for industry. The success of ROS-I critically depends on broad community involvement from end users, accessory manufacturers, robot manufacturers, integrators, researchers, educators, and government to prioritize new ROS-Industrial capabilities and application templates. There are software development, standards development, documentation, technical support, and training activities necessary to deploy ROS-Industrial broadly and in robust applications.

Path Forward

Industry collaboration to solve common problems is a natural way to address the need, wherein participants share the development cost and benefit from community ideas and involvement. Following other successful open source projects as models, SwRI is initiating a precompetitive commercial collaborative research consortium, exclusively focused on the needs of industrial robot users, called the ROS-Industrial Consortium (RIC). The RIC will accelerate the further development of ROS-Industrial. Members will work together to develop an application roadmap for ROS-Industrial, set near-term technical goals, and participate in spinoff Focused Technical Projects (FTPs). A representative roadmap is presented to illustrate the ROS-Industrial capability and a potential timeline for development (see figure on next page).

1 http://www.opensource.org/licenses/BSD-3-Clause
2 http://www.willowgarage.com/pages/software/ros-platform
ROS-Industrial Program Description Summary

ROS-Industrial™ Consortium is operated by:
Southwest Research Institute® (SwRI®)
6220 Culebra Road, San Antonio, TX 78238
210.522.6805 | rosindustrial.swri.org

SwRI Proposal Number: 10-65198
Release Date: October 5, 2012
Vision
Create an open community and common software repository where researchers, robotics professionals, and industrial control experts can contribute robust and reliable manufacturing-related ROS software, combining the relative strengths of ROS and existing technologies (i.e. combining ROS high-level functionality with the low-level reliability and safety of industrial robot controllers).

Mission
The mission of the Consortium is to accelerate the development of ROS-Industrial by:

- Establishing a roadmap to identify and prioritize ROS-Industrial capabilities for industrial robotics and automation as defined by the user community to address its current and future applications problems.
- Instituting and enforcing code quality standards appropriate for an industrial software product. These include rating/tracking code quality metrics, multi-level testing and documentation.
- Providing a wide range of user services, including technical support and training, to facilitate the continued adoption of ROS-Industrial by industry.
- Providing a mechanism for formal marketing and distribution of the code to a wider audience, thereby further expanding the user community, and providing greater capabilities.

Organization Structure
The RIC is organized to ensure members have the opportunity to provide technical and programmatic direction. Members will enjoy benefits as outlined in the table presented in the Membership section below. There are three primary elements of structure:

Consortium Advisory Committee (CAC): An Advisory Committee serves the role of facilitating Full Member interactions, program review, and setting technical direction. The CAC shall be composed of one (1) representative from each Full Member company in good standing, plus one (1) representative from SwRI.

Chairman: The Consortium manager shall normally act as Chairman of any CAC meeting. At the request of any Full Member representative, or at SwRI's option, another Participant may be requested to act as Chairman. In such a case, the Participants shall appoint a chairman of the CAC for that meeting from among the Full Member representatives present.

Consortium Manager: SwRI will appoint a Consortium Manager who will have the primary responsibility to ensure that the voices of the consortium participants are heard by the ROS-Industrial development team. SwRI will appoint a RIC manager who will coordinate member needs with the “big picture” of ROS-Industrial for the benefit of all concerned. This communications process will include workshops with presentations by the ROS-Industrial developers and Consortium participants, along with open discussions among the entire Consortium.

Focused Technical Projects
Full Members may initiate collaborative Focused Technical Projects (FTP) to develop tools and application-specific software capabilities that are of common interest to multiple members. Timing for project start/finish will be ad-hoc. FTPs will default to completely open source software, but sponsoring
members may choose to hold the application-specific data, technical reports, and IP within the FTP team for a period of up to two years, with the consent of the majority of the sponsoring members. Upon identification of an FTP, SwRI will prepare a scope and cost estimate for review by potential sponsors. Upon approval, the sponsoring members will split the FTP cost equally. New members, or Full Members wishing to sponsor an FTP after the start-date, will pay the same amount as the founding sponsors, resulting in additional scope for that particular FTP.

**Membership**

Members in good standing will enjoy the opportunity to participate based on membership levels. Membership dues will first go toward the operations of the RIC. Funds from membership dues that are above the operations budget will be allocated toward broadly useful tools, technical work, and standards activities as prioritized and voted upon by the CAC. **The RIC is actively seeking broad participation from users, robot manufacturers, integrators, researchers, and government participants.**

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<td>• Live technical support</td>
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<td>• All benefits of Associate Membership</td>
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**More Information**

ROS-Industrial Consortium: [http://RIC.SwRI.Org](http://RIC.SwRI.Org)
ROS-Industrial: [http://ROSIndustrial.SwRI.Org](http://ROSIndustrial.SwRI.Org)
Email / Telephone: clay.flannigan@swri.org / 210.522.6805
COOPERATIVE INDUSTRY PROJECT AGREEMENT

____________________________, a corporation having its place of business at
_____________________________, a “Participant” in and for purposes
of this Agreement only, which together with other participants will be referred to as
“ROS-Industrial Consortium”, sometimes herein called the “Consortium”, hereby agrees with
SOUTHWEST RESEARCH INSTITUTE® (SwRI®), a Texas nonprofit corporation, having its
principal offices at 6220 Culebra Road, San Antonio, Texas, 78238, herein called “SwRI”, to
participate in the activities of the Consortium and potentially fund focused projects that will have
as their major goal to enable industrial software developers to build more capable robot
applications quickly and easily on a common platform, the Robot Operating System - Industrial
(ROS Industrial) open source software program.

ARTICLE I
Consortium Mission

The mission of the Consortium is to accelerate the development of ROS-Industrial by:

(a) Establishing a roadmap to identify and prioritize ROS-Industrial capabilities for
industrial robotics and automation as defined by the user community to address its
current and future applications problems.

(b) Instituting and enforcing code quality standards appropriate for an industrial
software product. These include rating/tracking code quality metrics, multi-level
testing and documentation.

(c) Providing a wide range of user services, including technical support and training,
to facilitate the continued adoption of ROS-Industrial by industry.
(d) Providing a mechanism for formal marketing and distribution of the code to a wider audience, thereby further expanding the user community, and providing greater capabilities.

ARTICLE II
Consortium Organization Structure

The Consortium is organized to ensure members have the opportunity to provide technical and programmatic direction. Members will enjoy benefits as outlined in the table presented in Article III below. There are three primary elements of structure:

(a) **Consortium Advisory Committee (CAC):** The CAC serves the role of facilitating Full Member interactions, program review, and setting technical direction. The CAC shall be composed of one (1) representative from each Full Member company in good standing, plus one (1) representative from SwRI.

(b) **Chairman:** The Consortium Manager shall normally act as Chairman of any CAC meeting. At the request of any Full Member representative, or at SwRI’s option, another Full Member may be requested to act as Chairman. In such a case, the Full Members shall appoint a chairman of the CAC for that meeting from among the Full Member representatives present.

(c) **Consortium Manager:** SwRI will appoint a Consortium Manager who will have the primary responsibility to ensure that the voices of all Consortium Participants are heard by the ROS-Industrial development team and who will coordinate member needs with the “big picture” of ROS-Industrial for the benefit of all concerned. This communications process will include workshops with presentations by the ROS-Industrial developers and Consortium participants, along with open discussions among the entire Consortium.
ARTICLE III
Consortium Membership, Good Standing and Start-Up

Members in good standing will enjoy the opportunity to participate based on membership levels. Membership dues will first go toward the operations and administration of the Consortium. Funds from membership dues that are above the operations and administration budget will be allocated toward broadly useful tools, technical work, and standards activities as prioritized and voted upon by the CAC. The Consortium is actively seeking broad participation from users, robot manufacturers, integrators, researchers, and government participants.

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Participant shall make an initial payment to SwRI equal to the Consortium annual membership fee based on its category (or higher category, if so elected) upon execution of the
Agreement, and to continue its membership annually thereafter at the membership fee based on its category.

A Participant shall be in good standing if the Participant has paid the initial annual membership fee, subsequent annual membership fees, and, if a sponsoring Full Member for an Focused Technical Project (FTP), its share of the FTP cost within the time specified in each invoice.

Start-up of the Consortium will occur once Participants have funded $75,000 in membership fees but in no event earlier than January 1, 2013. If funding of membership fees has not reached $75,000 by January 1, 2013, SwRI may elect to abandon establishing the Consortium by refunding all membership fees then paid.

**ARTICLE IV**

**CONSORTIUM FOCUSED TECHNICAL PROJECTS**

Full Members may initiate collaborative FTPs with SwRI to develop tools and application-specific software capabilities that are of common interest to multiple members. Timing for project start/end will be ad-hoc. FTPs will default to completely open source software, but sponsoring Full Members may choose to hold the application-specific data, technical reports, and any developed intellectual property within the FTP team for a period of up to two years, with the consent of the majority of the sponsoring Full Members. Upon identification of an FTP, SwRI will prepare a scope and cost estimate for review by potential sponsors. Upon approval, evidenced by the signature of each sponsoring Full Member, the sponsoring Full Members will split SwRI’s cost of performing the FTP equally. New members, or Full Members wishing to sponsor an FTP after the start-date, will pay the same amount as the founding sponsors, resulting in additional scope for that particular FTP.
ARTICLE V
Invoices and Payments

All invoices rendered by SwRI for the Project shall be paid within twenty (20) days from date of invoice.

All payments by Participant to SwRI shall be made in United States of America (“United States”) currency.

All payments to SwRI shall be free and clear of all taxes and other governmental charges of any country except the United States. In the event taxes or other governmental charges are levied by any country except the United States, Participant shall pay the same, and such taxes or other governmental charges shall not be deducted from the payments to SwRI.

ARTICLE VI
Records

SwRI shall keep adequate books, records, and other documentation to support invoices sent to Participants for membership and FTP services. SwRI will preserve all such books, records and other documents mentioned above for a period of not less than two (2) years following completion of a particular FTP.

ARTICLE VII
Reports

Each quarter during which the work on an FTP progresses, SwRI shall report in writing to each sponsoring Full Member in good standing information relating to the FTP. Upon completion of the FTP, SwRI shall prepare a final report for dissemination to each sponsoring Full Member in good standing.

ARTICLE VIII
Confidentiality and Nondisclosure

For a period of five (5) years following the date of the disclosure to it by a Participant, SwRI shall not publish or disclose to others, including other Participants without such
Participant’s prior written approval, any information which it learns from, or which is disclosed to it by reason of its work on the Project and which is proprietary and confidential to such Participant, and is so identified in writing at the time of disclosure. This obligation of nonpublication and nondisclosure shall not apply to any item of such information which:

(a) was available to the public by publication or otherwise, or was a part of the public domain at the time such information became known to SwRI; or

(b) becomes available to the public by publication or otherwise or becomes a part of the public domain through no fault of SwRI after such information becomes known to SwRI; or

(c) is or has been disclosed to a third party without the same or substantially the same obligations of nonpublication and nondisclosure as those imposed on SwRI hereby; or

(d) was known to SwRI independently from the Project at the time it was disclosed to SwRI; or

(e) is disclosed by SwRI as the result of a lawful government judicial, legislative or executive subpoena, order or decree properly issued and served on SwRI by an authority having jurisdiction thereof. In the event of such service, or notice thereof, SwRI shall promptly notify the affected Participant(s) and shall afford such Participant(s) all reasonable cooperation to the end that the proprietary and confidential nature thereof may be protected.

ARTICLE IX
Open Source Software

During the term that work on an FTP is being performed, SwRI and the Consortium will release additions to the ROS-Industrial software as open-source software under the business
friendly BSD license structure. A description of the BSD open source license may be accessed at http://www.opensource.org/license/BSD-3-Clause. Open source release will benefit the Participants of the Consortium by engaging a broader community to test, debug and extend the work of the FTPs and the Consortium without additional cost to the Participants and thereby leveraging Participant investment in the Consortium.

**ARTICLE X**

**Data Use**

Data generated during the term of each FTP will be released periodically by SwRI to the Participants. Neither SwRI nor Participant shall publish or disclose to others, test results, data, recommendations and analysis, or any other information contained in the data releases or reports prepared for the Participants as determined by the majority of the sponsoring Full Members of the FTP. Any such restriction shall not preclude the Participant from using such information in the normal conduct of its business, provided any third party receiving such information will be bound by the same restrictions as the Participant is bound to this Agreement. Information in the reports can be disclosed to third parties without a restriction of confidentiality during this period only after receiving the approval of a majority of the sponsoring Full Members of the FTP. With respect to copies of reports to be delivered to any Participant who is a government entity, SwRI shall edit out and not disclose any confidential or proprietary information of any other Participant, if any.

**ARTICLE XI**

**Collaborations and Communications**

It is the current intent of SwRI that the ROS-Industrial Consortium enter into arrangements by collaboration agreement or memorandum of understanding with other consortia or entities interested in furthering research and development of the ROS –Industrial open source software, hereinafter referred to as “ROS Related Parties”. SwRI proposes that the arrangements
between the ROS-Industrial Consortium and ROS Related Parties be established to collaborate on mutually agreed ROS-Industrial open source software technical and development topics and to agree on projects to be conducted separately by each to provide the best value to the ROS-Industrial Consortium, its members and the ROS Related Entity; to strive to maximize economic achievement of mutual interests and goals; and to avoid wasteful duplication of effort wherever possible, including the development of software with duplicative functionality. In order to achieve these goals, the collaboration agreement with the ROS Related Entity and, if a consortium, the underlying member agreements, must provide, among other mutually beneficial terms and conditions, for the communication and sharing of information similar to that developed under this Agreement and the mandatory compliance with non-disclosure restrictions set forth in Articles VII and X. By executing this Agreement, Participant is agreeing to the proposed collaborations, their goals and the described sharing of information developed under this Agreement in the framework described and authorizing SwRI to negotiate and execute a collaboration agreement between the ROS – Industrial Consortium and any ROS Related Parties on reasonable terms and conditions to achieve these arrangements.

ARTICLE XII
Advertising and Report Usage

No advertising or publicity having or containing any reference, either directly or by implication, to SwRI or any of its employees, agents or consultants, shall be made use of by any Participant or on its behalf unless the same first shall have been approved in writing by an authorized officer of SwRI. In the event any Participant shall distribute or use any report on an FTP issued by SwRI outside its own organization, in addition to restrictions imposed by Article X herein, such report shall be used in its entirety, unless an authorized officer of SwRI shall first approve in writing a summary or abridgement of the same for such distribution or use. It is
understood and agreed that the restrictions of this Article shall not preclude any Participant in good standing from making use of the final report prepared by SwRI at the close of the FTP, but only in its entirety, for the purpose of securing acceptance or approval of the subject matter of the FTP by any duly constituted governmental authority having jurisdiction thereof, or by any generally recognized or accredited standardizing committee or organization. The obligations of this Article shall survive the termination of this Agreement whether such termination is by its terms or for cause. No advertising or publicity containing reference to the association of the Participants in the Consortium or the sponsoring Full Members of a FTP, shall be made use of by any Participant. Each Participant agrees that SwRI may use its name and logo in any communications, publicity or announcements concerning the membership and operation of the Consortium.

**ARTICLE XIII**  
**Patentable Intellectual Property**

SwRI does not anticipate that there will be patentable intellectual property developed during the term of the Consortium and SwRI will not seek to protect by patent any software that will be released as open source software. However, in the event that other patentable intellectual property is developed by SwRI, the following shall apply:

(a) SwRI represents that each of its employees assigned to work on each FTP will have entered into an employment contract with SwRI which provides for the assignment to SwRI of all inventions of such employees which come within the terms of this Agreement.

(b) SwRI agrees that if, during the time this Agreement is in effect, any of its employees, while working on an FTP and as a result thereof, shall make an
invention which relates exclusively thereto, SwRI shall promptly make such invention known to each Participant.

(c) SwRI agrees that it will make application for United States Letters Patent on any such invention falling within the terms of Article XIII(b) above upon the request of any Participant, provided that there is established at SwRI an initial prepaid appropriation for such patent application and prosecution in an amount to be mutually agreed upon by SwRI and those Participants who wish to obtain licenses under any patent issuing on such application, and provided that all of the costs incurred by SwRI in connection with such application and prosecution and maintenance over and above such prepaid appropriation have been paid by such Participants. SwRI shall retain title to such application and any patent issuing thereon. If a Participant has contributed its full share to all costs of such patent application, prosecution, and maintenance, SwRI shall issue to it and to its subsidiaries a royalty-free, irrevocable (except as otherwise provided below), non-assignable, nonexclusive license to make, have made for it, use, lease, and sell such invention under any such application or patent issued on such application provided that the foregoing shall not be deemed to imply an obligation of nonuse on any Participant in respect to the particular item of technical information or data contained in any such patent application. Each such license shall remain in effect as long as the licensee contributes its full share of all costs required for continuing maintenance of any such application and patent. In the event that at any time the payments to SwRI hereunder are not sufficient to cover all the costs of maintaining any such application or patent, SwRI may abandon the same forthwith and thereafter SwRI shall be under no further obligation with respect
thereto or in its discretion continue to maintain any such applications or patents at SwRI's sole expense and in that event SwRI shall retain all rights of ownership and is released of any obligations to Participant for future licensing of the application or patent.

(d) Upon the request of any Participant(s) in good standing, SwRI shall make application or applications for Letters Patent in the countries specified by the requesting Participant outside the United States on any such invention falling within the terms of Article XIII(b) above, provided that there is established by the requesting Participant(s) an initial prepaid appropriation for such patent application, prosecution and maintenance in an amount to be mutually agreed upon by SwRI and the requesting Participant(s) who wishes to obtain a license under any patent issuing on such application or applications and provided that all of the costs incurred by SwRI in connection with any such application, prosecution and maintenance over and above such prepaid appropriation have been paid by such Participant(s). SwRI shall retain title to such applications and any patents issuing thereon. If any Participant has contributed its full share of all costs of such patent application, prosecution and maintenance, SwRI shall issue to it and to its subsidiaries a royalty-free, irrevocable (except as otherwise provided below), non-assignable, nonexclusive license to make, have made for it, use, lease, and sell such invention under any such application or patent issued on such application. Each such license shall remain in effect as long as the licensee contributes its full share of all costs required for continuing maintenance of any such application and patent. In the event that at any time the payments to SwRI hereunder are not sufficient to cover all the costs of maintaining any such
application or patent, SwRI may abandon the same forthwith and thereafter SwRI shall be under no further obligation with respect thereto or in its discretion continue to maintain any such applications or patents at the SwRI’s sole expense and in that event SwRI shall retain all rights of ownership and is released of any obligations to Participant for future licensing of the application or patent.

(e) For purposes of this Agreement, a subsidiary of a Participant shall be any United States or foreign corporation in which such Participant owns or controls more than fifty percent (50%) of the stock entitled to vote for the election of directors.

(f) If SwRI has any dominant and relevant background patent or data rights and it determines such rights to be needed for full utilization of foreground data, inventions or discoveries developed under this Agreement, SwRI agrees to make available to each Participant such background rights.

ARTICLE XIV
Governing Law

This Agreement shall be construed and the legal relationships between the parties determined in accordance with the laws of the State of Texas.

ARTICLE XV
Independent Contractor

SwRI is not and shall not act or purport to act as an employee, agent, or representative of the Participants, but is and shall act as an independent contractor. The Participants shall not exercise any control over or direction of the manner in which SwRI performs any of its operations under this Agreement.
ARTICLE XVI
New Participants

At any time after initiation of the Project, additional organizations may become Participants, provided that each new Participant shall pay to SwRI the appropriate Consortium annual membership fee for the Project year in question and remain a Participant in good standing thereafter.

ARTICLE XVII
Consortium Advisory Committee

A Consortium Advisory Committee (“CAC”) shall be formed and maintained for the purpose of providing appropriate liaison, review and recommendations related to the Consortium. The CAC shall be composed of one (1) representative from each of the Full Members in good standing, plus one (1) representative from SwRI. The CAC shall meet and have the rights and responsibilities as set forth in Appendix 1 attached hereto and incorporated by reference.
ARTICLE XVIII
Arbitration of Disputes

Any and all disputes arising in connection with this Agreement which cannot be settled by negotiation between the parties hereto shall at the request of either or both parties be referred to and finally settled under the then-prevailing rules of the American Arbitration Association by one (1) or more arbitrators appointed in accordance with said Rules. Notwithstanding any provisions of the Rules of the American Arbitration Association of any applicable state or federal law, the parties agree that the Arbitrators cannot award exemplary or punitive damages. Judgment upon the award rendered may be entered in any court having jurisdiction, or application may be made to the court for judicial acceptance of the award and an order of enforcement as the case may be. All arbitration proceedings shall take place in San Antonio, Texas.

ARTICLE XIX
Insurance

At all times during the performance of services hereunder, SwRI shall carry and maintain in force Workers’ Compensation and Employers’ Liability Insurance in accordance with the law which may be applicable to all of its employees engaged in performing the work. SwRI further agrees to carry and maintain in force Commercial General and Automobile Liability Insurance. Upon request SwRI shall have its insurance carrier(s) furnish to Participant certificates of insurance specifying the policy limits. Every such contract of insurance shall provide that the same may not be materially changed or canceled without the insurance carrier(s’) endeavoring to provide the Participants through SwRI thirty (30) days prior written notice thereof.
ARTICLE XX
Warranty and Disclaimers

SwRI warrants that it will perform the services under this Contract with the degree of high professional skill and sound practices and judgment which is normally exercised by recognized professional firms with respect to services of a similar nature.

However, neither SwRI nor anyone acting on its behalf:

(a) MAKES ANY WARRANTY OR REPRESENTATION, EXPRESS OR IMPLIED, WITH RESPECT TO THE ACCURACY, COMPLETENESS, USEFULNESS OR RELIABILITY OF DATA OR OTHER INFORMATION FURNISHED TO IT BY ANY THIRD PARTY; OR

(b) ASSUMES ANY LIABILITY FOR DIRECT, FORESEEABLE OR CONSEQUENTIAL LOSS, COST OR DAMAGE RESULTING FROM DEATH, PERSONAL INJURIES, LOSS OR DAMAGE TO PROPERTY, OR IMPAIRMENT OR DAMAGE TO ANY RIGHT, INCLUDING, BUT NOT BY WAY OF LIMITATION, THE RIGHT TO BE PAID FOR LOSS OF TIME, LOSS OF SERVICES, OR ATTORNEYS’ FEES AND OTHER EXPENSES INCURRED, ARISING OUT OF OR AS A CONSEQUENCE OF THE SERVICES PERFORMED OR THE USE OR MISUSE OF ANY DATA OR OTHER INFORMATION RESULTING FROM THE SERVICES PERFORMED UNDER THIS AGREEMENT.

ARTICLE XXI
Federal Notifications

Participant hereby authorizes SwRI to notify the United States Department of Justice and the Federal Trade Commission of this cooperative arrangement and its Participants and to review on its behalf the notice, which is to be published in the Federal Register pursuant to the National Cooperative Research and Production Act (15 USC 4301).
ARTICLE XXII
Export Compliance

United States export control laws and regulations apply to this Contract and SwRI will comply with such laws and regulations in the performance of the Contract. Participant expressly assures SwRI that neither unpublished technical data nor any hardware involved in this transaction furnished by SwRI to Participant, and no direct product thereof, will be furnished or made available by Participant to any third party except in accordance with the applicable laws and regulations of the United States then in force.

ARTICLE XXIII
Integration

This Agreement embodies the entire agreement between the parties with respect to the subject matter hereof and all previous oral or written negotiations, representations, agreements and understandings are merged into, extinguished by and completely expressed by it.

EXECUTED on behalf of each of the parties hereto by its authorized officer or officers respectively on the date indicated.
ARTICLE XXIV
Electronic Signatures

Either party may execute this contract and any additional documents including, but not limited to, modifications, and representations and certifications related to this contract by facsimile or electronic signature. The other party shall be entitled to rely on such facsimile or electronic signature as evidence that this contract has been duly executed by an authorized representative. Further, neither party shall contest the validity of this contract based on the use of facsimile or electronic signatures.

SOUTHWEST RESEARCH INSTITUTE

By: __________________________
Name: R. B. Kalmbach
Title: Executive Director, Contracts
Date: June 13, 2013

(CLIENT'S NAME)

By: __________________________
Name: __________________________
Title: __________________________
Date: __________________________

Sign Here
APPENDIX 1

PROCEDURES AND POWERS OF THE CONSORTIUM ADVISORY COMMITTEE (CAC)

A. Meetings of the CAC shall be called by SwRI, with at least fourteen (14) days notice, twice per Consortium year, to be held approximately in the second and ninth months of each Consortium year.

B. Each Full Member and SwRI has the right to nominate representatives to attend meetings of the CAC as specified in Article II.

C. Each Full Member shall be provided with reasonable advance notice with such information on the technical and financial status of the Consortium and any FTPs as may be necessary to carry out the activities described in item E below.

D. SwRI, as Consortium Manager, shall normally act as Chairman of any CAC meeting. At the request of any Full Member or at SwRI’s option, another Full Member may be requested to act as Chairman. In such case the Full Members shall appoint a chairman of the CAC for that meeting from among the representatives present.

E. The Full Member during a CAC meeting shall have the power:

1. by majority decision to:
   a. Decide on changes in or re-define the scope of the Consortium Mission or any modification that can be accommodated within the available funds in accordance with Article III of the Agreement.
   b. Approve the ROS-Industrial Roadmap at least annually.
   c. Review results of FTPs.
   d. Determine use of additional participation fees received in accordance with Article III and Article XVI of the Agreement.
   e. Consent to an assignment by SwRI of the Agreement or any part thereof.
   f. Request additional meetings of the CAC.
   g. Advise SwRI on suggested changes to or propose new collaborations pursuant to Article XI.

2. by unanimous decision to:
   a. Decide on changes to the Consortium participation fees in accordance with Article III of the Agreement.
   b. Determine the dissemination of Consortium and Focused Technical Project(s) information and results throughout term of the Consortium and confidentiality period in accordance with Article IV, Article VIII, and Article X of the Agreement.
c. Decide on changes to the fee sharing arrangement and structuring for Focused Technical Projects in accordance with Article IV of the Agreement.

F. For the purpose of item E above, “majority” shall mean a majority of all of the votes available to be cast by Full Members and “unanimous” shall mean all Full Members.

G. Valid decisions can only be made if at least two thirds of the Full Members of the Consortium are represented at such a meeting.

H. Each Full Member representative and the SwRI representative shall have one (1) vote in any year of the Consortium. Associate, Research, and Government Members serve an advisory role only and shall have no vote in any CAC decision.

I. In the case of an equality of votes the chairman shall have a second or casting vote.

J. Travel, lodging and time costs incurred by the representatives of the Participants in connection with the CAC meetings shall be borne by the respective member of the CAC.

K. SwRI shall produce minutes of the CAC meetings and will distribute the minutes to all Participants in good standing.

L. SwRI may call telephone meetings of the CAC from time to time to determine, any of the matters above, including but not limited to, Item E.1.d. above.

M. Any changes necessary to the Consortium in accordance with Item E above may be made without a CAC Meeting, by SwRI issuing all Participants with an individual formal amendment to this Agreement for signature.
Antitrust Policy Statement and Guidelines
For Cooperative Research Group on:
Robot Operating System - Industrial
Project Meeting
Date: _________________________

Antitrust Laws

Federal and State antitrust laws were enacted to protect and foster the efficient operation of the free enterprise system by assuring the preservation of competition among business firms at all levels of trade and to prevent collusion in the marketplace. The thrust of the antitrust laws is the prohibition of joint activity or agreements that unreasonably restrain trade or restrict complete freedom of action of businesses in their respective operations. Such agreements may be in the form of a formal written agreement but may also be an informal, unwritten, and even unspoken agreement or understanding.

Purpose of the ROS-Industrial Project

From time-to-time, the participants in the Robot Operating System - Industrial project (hereinafter called “ROS-Industrial”) conducted by Southwest Research Institute, meet to discuss the program and to review any recommendations relating to the technical direction and accomplishments of the project. Participation in the ROS-Industrial project and its concomitant meetings does not constitute a violation of the antitrust laws. Competitors may meet and discuss matters of concern to their industry, provided such meetings are not used to pursue actions tending to restrict commerce.

Compliance Practices

1. Meetings of participants shall be structured, including proper notification for each meeting; preparation and distribution of an agenda prior to each meeting; distribution of the published agenda at the meeting; and observance of these guidelines. Meetings must adhere to the published agenda, and items not on the agenda shall not be discussed. Adherence to the business items on the agenda will avoid any appearance of improper conduct or a conflict of interest.

2. Minutes of the meetings taken shall be approved by the participants (who must object if the minutes do not accurately reflect what transpired) and preserved by Southwest Research Institute during the life of the ROS-Industrial project and thereafter, according to the record retention policy of Southwest Research Institute.

3. It is each participant’s responsibility to avoid raising improper subjects for
discussion, and any participant who has any doubts concerning the propriety of any matters under discussion at any meeting should immediately raise this matter, have it recorded in the minutes, disassociate herself or himself from the discussion, and, if necessary, leave the meeting.

4. It is each participant’s responsibility to report any perceived violation of these guidelines to the participant’s counsel, as well as to Southwest Research Institute.

5. If a conversation or activity arises, which is not permitted by these guidelines, the participants should stop it immediately. Please be aware that these guidelines apply to casual and informal discussions that occur outside of the formal ROS-Industrial meeting.

I. Permitted Activities:

Permitted activities during meetings of the ROS-Industrial project include the following:

1. Discussion of the data results obtained from the project research activities;
2. Discussion of the methodologies utilized in gathering the data; and
3. Discussion related to the consortium meetings.

II. Activities Which Are Not Permitted:

Activities which are not permitted during meetings of the ROS-Industrial project include the following which may tend to:

1. Fix or otherwise restrict the prices charged or paid for participants’ goods or services;
2. Allocate markets, sales territories or customers between participants;
3. Initiate or encourage boycotts of specific products or services, or refusals to deal with designated customers or suppliers;
4. Limit production levels of members and otherwise restrict the availability of products or services;
5. Purposely hinder or disparage the competitive efforts of non-participants;
6. Coerce or encourage participants to refrain from competing;
7. Limit, impede or exclude anyone of the manufacture, production or sale of goods or services;

8. Promulgate or encourage unfair or misleading practices involving advertising, merchandising of products or services; or

9. Condition or tie the purchase of one product or service to the purchase of another product or service.

These guidelines are not intended, and should not be understood, to be a comprehensive summary of all antitrust problem areas. These guidelines are intended to familiarize the participants with the basics of antitrust prohibitions so that antitrust compliance is achieved. Each participant should consult with their counsel for more detailed instructions concerning their individual compliance with antitrust laws and regulations.

Rev. 0/April 25, 2012